

Warning: Any false statement, misrepresentation, or concealment of any material fact on this application or any document presented in support of this application may lead to denial, suspension, or revocation of your application and be grounds for criminal prosecution under subsection 45(2) of the *Defence Production Act*.

In any instance where this form does not allow sufficient space for a complete answer, attach a separate page as required. Incomplete or illegible applications will not be processed and will be returned to the applicant. The Controlled Goods Program has a service standard of 32 business days to process complete applications.

Privacy notice

The information requested is collected by the Controlled Goods Program to determine the current and ongoing entitlement of your company to register with the Controlled Goods Program. The information is collected under the authority of the *Defence Production Act* and the *Controlled Goods Regulations*.

Refusal to provide personal information or the provision of incorrect information will result in you being prohibited from examining, possessing, or transferring items identified in the Controlled Goods List contained in the Schedule to the *Defence Production Act*.

Your personal information is used, protected, or disclosed in accordance with the <u>Privacy Act</u> and is described in the Personal Information Bank, Controlled Goods Program, <u>PWGSC PPU 045</u>. Under the <u>Privacy Act</u>, you have the right to access your personal information and to request corrections if you believe that your personal information is inaccurate or incomplete.

If you have concerns or believe to have grounds to file a complaint in regards to the handling of your personal information, you may contact the Public Services and Procurement Canada's Access to Information and Privacy Directorate by email at tpsqc.vieprivee-privacy.pwqsc@tpsqc-pwqsc.qc.ca. If you are not satisfied with the response to your privacy concern or if you want to file a complaint about the handling of your personal information, you may wish to contact the Office of the Privacy Commissioner of Canada.

A – Preferred language	of correspondence	
English	French	

B – Type of application

New Renewal Amendment

For **all** applications: if a section does not apply, indicate 'N/A' (not applicable).

For **new** and **renewal** applications, complete all sections of the form.

For **amendment** applications, complete sections A, B, C and I, as well as any other section where there are changes from the original application.

C - Business information									
1 - Type of business (attach	evidence of the legal status of the bu	siness)	2 - CRA Business Number						
Corporation	Academic institution								
Not-for-profit	Sole proprietorship								
Partnership	Other (specify)								
3 - Legal name		4 - Business name (if different than legal name)							
5- Head office civic address									





6 - Head office mailing address (if different from civic address)	
7 - Telephone	8 - E-mail
9 - Organization website	
10 - Indicate the number of employees in your organization:	
11 - Indicate the number of employees in your organization who a transfer controlled goods:	are or will be in a position to examine, possess or
D - Registration requirement	
12 - Describe your organization's general business activities as we transfer of controlled goods. If you are bidding on a contract involved transfer of controlled goods.	olving controlled goods, provide details of the contract here.
Business relationship / work obligations	
13 - Identify with which industry organization or government dep	artment you have or will have controlled goods work obligations.
14 - Work agreement start date (year-month-day)	15 - Work agreement end date (year-month-day)
Third party contact person (reporting authority)	
16 - Identify the person or reporting authority from 12 who can co	onfirm the requirement for your organization to register.
17 - Name	18 - Title
19 - Telephone	20 - E-mail





Controlled goods information

21 - Refer to the Guide to the Schedule to the Defence Production Act to populate the first two columns of the table below.

Refer to the United States Munitions List (USML)—part 121 of the United States International Traffic in Arms Regulations (ITAR) for U.S. ITAR defence articles that are manufactured in the U.S. or manufactured from technical data (technology) that is currently controlled under the USML to populate the last two columns of the table below. Please list the category number to its greatest extent.

Use "N/A" if not applicable, as scenarios vary. **Do not leave any table cells blank**.

Schedule / Export Control List (ECL) number	Description of controlled goods	Controlled by the USML – part 121 of the United States ITAR?	USML category number				
THE FOLLOWING ARE EX	AMPLES ONLY:						
2-6.a.	Military tank	Yes No	VII a.1.				
N/A	Ground vehicle suspension for military vehicle	Yes No	VII g.10				
2-7.b.2.b.	2-chlorovinyldichloroarsine (CAS 541-25-3);	Yes No	N/A				
BEGIN BELOW:							
		Yes No					
		Yes No	1				

E – Head office site information – site 1		
22 - Will controlled goods be kept at your head office? If you answered yes, respond to 23 and 24 below. If you answered no, skip to 25.	Yes	No
23 - Will all of the controlled goods identified in section D 21 be kept at your head office?	Yes	No

Yes

Yes

Yes

No

No

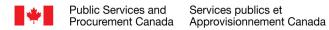
No

24 - If you answered no to 23, identify which controlled goods listed in section D 21 will be kept at this location by noting the respective ECL number:

25 - Will records of transfers of controlled goods be kept at the head office?	Yes	No	
26 - Will records of employee security assessments be kept at the head office?	Yes	No	

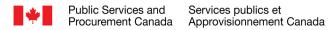
If you answered no to questions 25 or 26 or to add more business sites where controlled goods, records of transfers and/or security assessments are kept, go to annex 1, attached.





Designated official(s)				
					signated official eligibility to a security assessment.
Surname	Surname Given name			Title	
Telephone			E-mail		
Surname		Given name		Title	
Telephone			E-mail		
F – Ownership inforn	nation				
identified, provide comp percentage of ownershi	plete ownership infor p), and whether the /diagram containing	rmation up to and inclu organization is publicly this information. Indiv	ding the ultimate ov traded, using the fidual owners of 20%	vner in the co ormat below.	wnership. For businesses orporate tree (regardless of As an option, you may instead the voting shares or interests will
Is your organization publicly traded? Yes No	Stock Exchange				
1a - Name				Q	% of ownership
Head office address					
Publicly traded?	Stock Exchange				
Yes No					
1b - Name				o.	% of ownership
Head office address Publicly traded?	Stock Exchange				
	Stock Exchange				
Yes No					





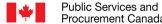
	F		1.
	1c - Name		% of ownership
	Head office address		
	Dublish stored ad 2	Charle Freeham a	
	Publicly traded?	Stock Exchange	
	Yes No		
2a - Na	ime	•	% of ownership
Head of	ffice address		
ricad oi	ince dudiess		
Publicly	traded? Sto	ock Exchange	
Yes	No		
			In a
26	- Name		% of ownership
He	ad office address		
		. = .	
Pul	olicly traded? Sto	ock Exchange	
	Yes No		
	2c - Name		% of ownership
	Head office address		
	ricad office address		
	Publicly traded?	Stock Exchange	
	Yes No		





3a - Na	me			% of ownership
Head of	fice address:			•
Publicly	traded?	Stock Exchange		
Yes	No			
3b	- Name			% of ownership
Hea	nd office address			•
Pub	licly traded?	Stock Exchange		
	Yes No			
	3c - Name			% of ownership
	Head office addre	ess		•
	Publicly traded?	Stock Exchar	nge	
	Yes N	lo		
	siness officials			
section.				dual identified in section I must also be listed in this amendments only, check either the Added or Removed
To be:	Added	or	Removed	
Surnam	е		Given name	Title
Residen	tial address			Telephone





To be:	Added	or	Removed				
Surname			Given name	Title			
Residential add	lress			Telephone			
To be:	Added	or	Removed				
Surname			Given name	Title			
Residential add	lress			Telephone			
To be:	Added	or	Removed	_			
Surname			Given name	Title			
Residential add	lress			Telephone			
If more space	If more space is required for business officials, go to annex 2, attached.						
	_						

H – Web consent

30 - Subsection 37 (2) of the *Defence Production Act* prohibits a person who is registered or who is exempt from registration from knowingly transferring a controlled good to a person who is not registered or who is not exempt from registration or permitting the examination of a controlled good by such a person.

To assist registered and exempted persons in exercising due diligence when transferring controlled goods, the Controlled Goods Program publishes on its website a list of registered persons who have provided their consent to be listed.

If you consent to be listed on the Controlled Goods Program website your information will be available to the public.

Select one of the following options:

I consent to have the following information posted on the Controlled Goods Program's website (select 1 of the 2 boxes below):

- (a) Name of registered person, full address, registration expiry date and general contact number
- Name of registered person, full address, registration expiry date, general contact number, name(s) of (b) designated official(s) and contact number of the designated official(s)

I do not consent to have the organization's information posted on the Controlled Goods Program's website

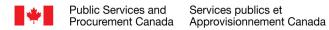
I - Statement of authorized individual

31 - An individual identified as a business official in section G above should complete this section. This authorized individual will be subject to a security assessment.

I, the undersigned, as the individual authorized by the applicant, do hereby certify that the information contained in and accompanying this application to be true, complete, and accurate. I acknowledge and agree to comply with the responsibilities outlined in the Defence Production Act and the Controlled Goods Regulations with respect to the examination, possession, or transfer of items identified in the Controlled Goods List contained in the Schedule to the Defence Production Act.

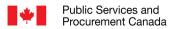
32 - Surname	33 - Given name	34 - Title





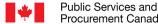
35 - Business mailing addre	SS					
36 - Telephone				37 - E-mail		
Signature of authorized indi	vidual			Date (year-month-c	lay)	
Annex 1 – Additional bus	iness site(s)					
Provide all of your busine will be kept.	ess site addres	ses where contro	olled go	oods, records of t	ransfers and/or sec	curity assessments
Site 2 - To be:	Added	or	Remo	ved		
1 - Site 2 civic address						
2 - Site 2 mailing address (i	f different from	civic address)				
3 - Will controlled goods be If you answered yes, respon		low. If you answer	red no,	skip to 6.	Yes	No
4 - Will all of the controlled	goods identified	in section D 21 be	e kept a	at site 2?	Yes	No
5 - If you answered no to 4, the respective ECL number:						., 3
6 - Will records of transfers	of controlled go	ods be kept at site	2?		Yes	No
7 - Will records of employee	e security assess	ments be kept at s	ite 2?		Yes	No
Designated official(s)						
8 - Identify the (proposed) of 11 of the <i>Controlled Goods I</i>	designated offici Regulations. All o	al(s) who will be re designated officials	sponsil will be	ble for site 2. Desig subject to a securi	nated official eligibilit ty assessment.	y is defined in section
Surname		Given name			Title	
Telephone				E-mail		
Surname		Given name			Title	
Telephone				E-mail		





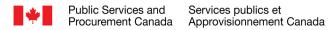
Site 3 - To be:	Added	or	Removed		
1 - Site 3 civic address					
2 - Site 3 mailing address	(if different from	civic address)			
3 - Will controlled goods t If you answered yes, resp		elow. If you answ	ered no, skip to 6.	Yes	No
4 - Will all of the controlle	ed goods identifie	d in section D 21	be kept at site 3?	Yes	No
5 - If you answered no to the respective ECL numbe		controlled goods	listed in section D 21 will I	be kept at this location t	y noting
6 - Will records of transfe	rs of controlled go	oods be kept at sit	te 3?	Yes	No
7 - Will records of employ	ee security asses	sments be kept at	site 3?	Yes	No
Designated official(s)					
8 - Identify the (proposed) designated official(s) who will be responsible for site 3. Designated official eligibility is defined in section 11 of the <i>Controlled Goods Regulations</i> . All designated officials will be subject to a security assessment.					
Surname		Given name		Title	
Telephone			E-mail		
Surname		Given name	•	Title	
Telephone			E-mail		
Site 4 - To be:	Added	or	Removed		
1 - Site 4 civic address					
2 - Site 4 mailing address	(if different from	civic address)			
3 - Will controlled goods but If you answered yes, resp		elow. If you answ	ered no, skip to 6.	Yes	No
4 - Will all of the controlle	ed goods identifie	d in section D 21	be kept at site 4?	Yes	No
5 - If you answered no to the respective ECL numbe		controlled goods	listed in section D 21 will I	be kept at this location t	y noting





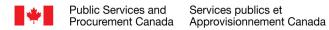
6 - Will records of transfers of controlled go		Yes	No		
7 - Will records of employee security asses	•	Yes	No		
Designated official(s)					
8 - Identify the (proposed) designated office 11 of the <i>Controlled Goods Regulations</i> . All				ty is defined in section	
Surname	Given name		Title		
Telephone		E-mail			
Surname	Given name		Title		
Telephone		E-mail			
Site 5 - To be: Added	or Rem	oved			
1 - Site 5 civic address					
2 - Site 5 mailing address (if different from	civic address)				
3 - Will controlled goods be kept at site 5? If you answered yes, respond to 4 and 5 be	elow. If you answered no	o, skip to 6.	Yes	No	
4 - Will all of the controlled goods identifie	d in section D 21 be kept	at site 5?	Yes	No	
5 - If you answered no to 5, identify which the respective ECL number:				, ,	
6 - Will records of transfers of controlled goods be kept at site 5? Yes No					
7 - Will records of employee security assessments be kept at site 5? Yes No					
Designated official(s)					
8 - Identify the (proposed) designated offic 11 of the <i>Controlled Goods Regulations</i> . All				ty is defined in section	
Surname	Given name		Title		
Telephone		E-mail			
Surname	Given name		Title		
Telephone		E-mail			





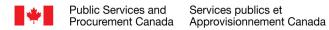
Annex 2 – A	dditional busine	ess official:	S	
Identify all of	ficers, directors, p	partners and	d proprietors.	
To be:	Added	or	Removed	
Surname			Given name	Title
Residential ac	ldress			Telephone
To be:	Added	or	Removed	
Surname			Given name	Title
Residential ac	ldress			Telephone
To be:	Added	or	Removed	
Surname			Given name	Title
Residential address				Telephone
To be:	Added	or	Removed	
Surname			Given name	Title
Residential ac	ldress		•	Telephone
To be:	Added	or	Removed	•
Surname			Given name	Title
Residential ac	ldress			Telephone





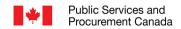
To be:	Added	or	Removed	
Surname			Given name	Title
Residential a	ddress			Telephone
To be:	Added	or	Removed	
Surname			Given name	Title
Residential a	ddress		•	Telephone
To be:	Added	or	Removed	
Surname			Given name	Title
Residential a	ddress			Telephone
Appendix 1	- Ownership ex	amples (S	ection F)	
Ex. 1 - ABC Exchange.	Corporation ap	plying to re	egister in the Controlled Goods	Program and is traded on the Toronto Stock
Is your organ	nization Stoo	ck Exchange	2	
publicly trade Yes	No No	onto		
1a - Name	•			% of ownership
Head office a	ddress:			,
Publicly trade	ed? Stoo	ck Exchange	2	
Yes	No			
1b - Nar	ne			% of ownership
Head off	ice address			•





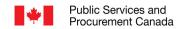
Pub	licly traded?	Stock Exchange	
	Yes No		
	1c - Name		% of ownership
	Head office addre	ss	•
	Publicly traded?	Stock Exchange	
	Yes N	0	
Ex. 2. J Joe Sm	J Smith Limited ith (35%), Alex	is applying to register in the Controlled Good Smith (15%) and Michael Smith (15%).	s Program and is owned by: Jane Smith (35%),
Is your	organization traded?	Stock Exchange	
Yes	No No		
1a - Na	me Jane Smith		% of ownership 35
123 Ma			•
	ille, ON K1K 1K1		
Publicly Yes	traded?	Stock Exchange	
1b	- Name		% of ownership
Hea	ad office address		
Pub	licly traded?	Stock Exchange	
	Yes No	-	
	1c - Name		% of ownership
	Head office addre	SS	·
	Publicly traded?	Stock Exchange	
	Yes N		
2a - Na	me Joe Smith		% of ownership 35
	fice address		
123 Ma Smithv	in St. ille, ON K1K 1K1	L	
Publicly	traded?	Stock Exchange	
Yes	No		
2b	- Name		% of ownership
Hea	ad office address		•
Pub	licly traded?	Stock Exchange	
	Yes No		





	2c - Name			% of ownership		
	Head office addr	ess				
	Publicly traded?		Stock Exchange			
		No				
3a - N	Name No other ind	lividu	al or business owns 20% or more	% of ownership 30		
Head	office address					
Public	ly traded?	Sto	ck Exchange			
Ye	s No					
3	b - Name	•		% of ownership		
Н	ead office address			•		
P	ublicly traded?	Sto	ck Exchange			
	Yes No					
L	3c - Name			% of ownership		
	Head office addr	ess		<u> </u>		
	Publicly traded?		Stock Exchange			
	,	No				
40%	- Global Military	Ltd	ic. is applying to register in the Controlled Good Traded on the New York Stock Exchange; 40% rue North Strong Inc 100% owned by Confed	- Canada Aerospace Corp 100% owned		
	ange.		200 / 0 0 m c 2 y 0 0 0 m c 2 y 0 0 0 m c 2 y 0 0 0 m c 2 y 0 0 0 m c 2 y 0 0 0 m c 2 y 0 0 0 m c 2 y 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			
	ır organization ly traded?	Sto	ck Exchange			
Ye						
1a - N	Name Global Milita	ry Lt	d.	% of ownership 40		
	office address					
	de Ave. va, ON K1K 1K1					
Public	ly traded?	Sto	ck Exchange			
Ye	s No	Nev	v York			
	b - Name			% of ownership		
L	lead office address					
[''	.caa omee address					
P	ublicly traded?	Sto	ck Exchange			
	Yes No					
1						





	1c - Name			% of ownership		
	Head office addr	-ACC		r		
	lieau office addr	C33				
	Publicly traded?	Stock	Exchange			
		No		 		
2a - N	lame Canada Aero	space Corp	p.	% of ownership 40		
456 L	office address ine Drive va, ON K2T 2B8					
Publicl	ly traded?	Stock Exch	hange			
Yes	s No					
21	b - Name Jean Tre	emblay		% of ownership 100		
4	ead office address Lister Ave. ottawa, ON K3C 2	Т3				
Pι	ublicly traded? Yes No	Stock Exch	hange			
	2c - Name	<u> </u>		% of ownership		
	Head office addr	ess				
	Publicly traded?	Stock	Exchange			
	Yes	No				
3a - N	lame True North S	Strong Inc.		% of ownership 20		
14 Pu	office address Itnam Way Va, ON K5C 2T4					
Publicl	ly traded?	Stock Exch	hange			
Yes	s No					
31	b - Name Confede	ration Inc.		% of ownership 100		
3	ead office address 5 Minor Cresc. ttawa, ON K6S 3	G 5				
Pt	ublicly traded? Yes No	Stock Exch Toronto	hange			
	3c - Name			% of ownership		
	Head office addr	ess		·		
	Tredd office dddr	C33				
	Publicly traded?	Stock	Exchange			
	Yes	No				

